



THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of: Glazer et al.

Serial No. 09/919,486

Filed: July 31, 2001

For: *Engineering of Living Cells for the  
Expression of Holo-Phycobiliprotein-Based  
Constructs*

Group Art Unit: 1638

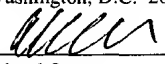
Examiner: Not yet assigned

Attorney Docket No. B01-114-1

**CERTIFICATE OF MAILING**

I hereby certify that this corr. is being deposited with the US Postal Service as First Class Mail in an envelope addressed to the Comm. for Patents, Washington, D.C. 20231 on October 16, 2001.

Signed

  
Richard Osman

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

The Commissioner for Patents  
Washington, DC 20231


Dear Commissioner:

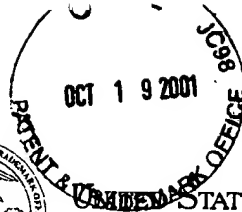
In response to the Notice to File Missing Parts mailed September 24, 2001, enclosed please find:

- Copy of Notice (1p)
- Inventor's declaration (2p)
- Power of Attorney (1p)
- Assignment and Recordation Cover sheet (3p)
- check no.2774 for \$511.00 (370 + 36 + 65 + 40)
- return postcard.

Applicants hereby petition for any necessary extension of time pursuant to 37 CFR 1.136(a). The Commissioner is hereby authorized to charge any necessary fees or credit any overpayments associated with this communication to our Deposit Account No. 19-0750 (order no. B01-114-1).

Respectfully submitted,  
SCIENCE & TECHNOLOGY LAW GROUP

  
Richard Aron Osman, Ph.D., Reg. No. 36,627  
Tel: (650) 343-4341; Fax: (650) 343-4342



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/919,486	07/31/2001	Alexander N. Glazer	B01-114-1

23379  
RICHARD ARON OSMAN  
SCIENCE AND TECHNOLOGY LAW GROUP  
75 DENISE DRIVE  
HILLSBOROUGH, CA 94010

CONFIRMATION NO. 3672

## FORMALITIES LETTER



\*OC000000006593344\*

Date Mailed: 09/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$-355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27). 370.00*
- Total additional claim fee(s) for this application is \$36.
  - \$36 for 4 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 456.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

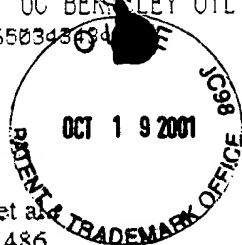
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/23/2001 HBERHE 00000041 09919486

01 FC:201  
02 FC:205  
03 FC:203

370.00 OP  
65.00 OP  
36.00 OP



Applicant: Glazer et al.  
Serial No.: 09/919,486  
Filed: July 31, 2001  
Group: Not assigned

UCB01-114-1

POWER OF ATTORNEY BY ASSIGNEE

To the Commissioner for Patents:

The undersigned assignee of the entire interest in application for letters patent entitled: *Engineering of Living Cells for the Expression of Holo-Phycobiliprotein-Based Constructs* and having the named inventor(s): Alexander N. Glazer, Aaron J. Tooley and Yuping Cai described in the application having U.S. Serial No. 09/919,486, filed on July 31, 2001, hereby appoints Richard Aron Osman, Ph.D. (Reg No 36,627) to prosecute this application and to transact all business in the United States Patent and Trademark Office in connection therewith.

Please direct all correspondence and telephone calls to: Richard Aron Osman, Ph.D. at 75 Denise Drive, Hillsborough, CA 94010; tel. (650) 343-4341.

In accordance with 37 CFR §3.73 the assignee submits herewith for recordation an assignment from the inventors to the undersigned assignee and hereby certifies that the evidentiary documents with respect to their ownership have been reviewed and that, to the best of assignee's knowledge and belief, title is in the assignee seeking to take this action.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application nor any patent issuing thereon.

By: The Regents of the University of California  
1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, CA 94607-5200

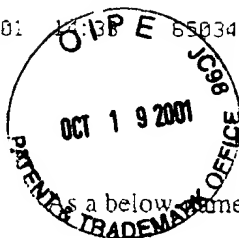
Name: William A. Hoskins

Title: Director, Office of Technology Licensing  
2150 Shattuck Ave., Suite 510, Berkeley, CA 94704-1620

Signature: William A. Hoskins

Date: Oct 16, 2001

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## DECLARATION FOR PATENT APPLICATION

I, a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled *Engineering of Living Cells for the Expression of Holo-Phycobiliprotein-Based Constructs*, described in the specification having U.S. Serial No. 09/919,486, filed on July 31, 2001.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above

I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56.

Please direct all telephone calls to Richard Osman (650) 343-4341 and address all correspondence to: Science & Technology Law Group, 75 Denise Drive, Hillsborough, CA 94010

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

First joint inventor: Alexander N. Glazer  
Inventor's signature: Alexander N. Glazer  
Date: 10-13-01  
Residence (city & state): Berkeley, CA  
Citizenship: USA  
Mailing Address: Dept. of Molecular and Cell Biology, 142 LSA, U.C.  
Berkeley, Berkeley, CA 94720-3200

Second joint inventor: Aaron J. Tooley  
Inventor's signature: Aaron Tooley  
Date: 10/12/2001  
Residence (city & state): Berkeley, CA  
Citizenship: USA  
Mailing Address: Dept. of Molecular and Cell Biology, 142 LSA, U.C.  
Berkeley, Berkeley, CA 94720

Third joint inventor:

Yuping Cai

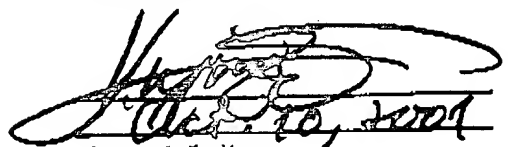
Inventor's signature:

Date:

Residence (city &amp; state):

Citizenship:

Mailing Address:

  
Oct 10, 2001Carmel, IndianaChina4679 Hazelwood Circle, Carmel IN 46033